

# Introduction to Combinatorial Anabelian Geometry III

§1 Introduction

§2 Semi-graphs of Anabelioids

§3 Application of CombGC

§4 Proof of CombGC

§1

$K$ : an NF or an MLF  $\hookrightarrow \bar{K}$ : an alg closure of  $K$

$G_K \stackrel{\text{def}}{=} \text{Gal}(\bar{K}/K)$

$(g, r)$ : a pair of nonnegative integers s.t.  $2g - 2 + r > 0$

$C$ : a hyperbolic curve of type  $(g, r) / K$

( $g$ : the genus,  $r$ : the number of cusps)

$\pi_1((-)$ ): the étale fundamental gp of  $(-)$

Recall: The homotopy ext seq

$$1 \rightarrow \pi_1(C \times_K \bar{K}) \rightarrow \pi_1(C) \rightarrow G_K \rightarrow 1$$

induces an outer representation

$$\rho : G_K \rightarrow \text{Out}(\pi_1(C \times_K \bar{K}))$$

Belyĭ, Voevodskiĭ, Matsumoto

If  $r > 0$ , then  $\rho$  is [injective](#). ■

Today,

Thm 1 (Hoshi-Mochizuki)

For any  $(g, r)$ ,  $\rho$  is [injective](#). ■

Method: Combinatorial Anabelian Geometry

Notations:

$k$ : an alg closed field of char 0

$X$ : a hyperbolic curve of type  $(g, r) / k$

$$X_n \stackrel{\text{def}}{=} \{(x_1, \dots, x_n) \in \overbrace{X \times_k \cdots \times_k X}^n \mid x_i \neq x_j \text{ if } i \neq j\}$$

$$\Pi_n \stackrel{\text{def}}{=} \pi_1(X_n)$$

In particular, the projections

$$\begin{array}{ccccccc} X_n & \rightarrow & X_{n-1} & \rightarrow & \cdots & \rightarrow & X_2 & \rightarrow & X \\ (x_1, \dots, x_n) & \mapsto & (x_1, \dots, x_{n-1}) & \mapsto & \cdots & \mapsto & (x_1, x_2) & \mapsto & x_1 \end{array}$$

induce a **standard sequence** of [outer] surjections

$$\Pi_n \twoheadrightarrow \Pi_{n-1} \twoheadrightarrow \cdots \twoheadrightarrow \Pi_2 \twoheadrightarrow \Pi_1$$

Write  $K_m \stackrel{\text{def}}{=} \text{Ker}(\Pi_n \twoheadrightarrow \Pi_m)$ ,  $\Pi_0 \stackrel{\text{def}}{=} \{1\}$ .

$$\rightsquigarrow \{1\} = K_n \subseteq K_{n-1} \subseteq \cdots \subseteq K_1 \subseteq K_0 = \Pi_n$$

$\alpha \in \text{Aut}(\Pi_n)$  is **F-admissible**  $\stackrel{\text{def}}{\Leftrightarrow}$  For any **fiber subgroup**  $J \subseteq \Pi_n$ , it holds that  $\alpha(J) = J$ .

Recall:

$J \subseteq \Pi_n$  is a **fiber subgroup**  $\stackrel{\text{def}}{\Leftrightarrow} J = \text{Ker}(\Pi_n \twoheadrightarrow \Pi_{n'})$

— where  $\Pi_n \twoheadrightarrow \Pi_{n'}$  is induced by a proj  $X_n \rightarrow X_{n'}$ .

$\alpha \in \text{Aut}(\Pi_n)$  is **C-admissible**  $\stackrel{\text{def}}{\Leftrightarrow}$

(i)  $\alpha(K_m) = K_m \quad (0 \leq m \leq n)$

(ii)  $\alpha : K_m/K_{m+1} \xrightarrow{\sim} K_m/K_{m+1}$  induces a **bijection** between the set of **cuspl inertia subgps**  $\subseteq K_m/K_{m+1}$

$\alpha \in \text{Aut}(\Pi_n)$  is **FC-admissible**  $\stackrel{\text{def}}{\Leftrightarrow}$   $\alpha$  is F-admissible and C-admissible

$$\text{Aut}^{\text{FC}}(\Pi_n) \stackrel{\text{def}}{=} \{ \text{FC-admissible automorphisms of } \Pi_n \}$$

$$\text{Out}^{\text{FC}}(\Pi_n) \stackrel{\text{def}}{=} \text{Aut}^{\text{FC}}(\Pi_n)/\text{Inn}(\Pi_n)$$

Observe:  $X_{n+1} \rightarrow X_n$  “forgetting the last factor” induces

$$\phi_n : \text{Out}^{\text{FC}}(\Pi_{n+1}) \rightarrow \text{Out}^{\text{FC}}(\Pi_n)$$

Thm 2  $\phi_n$  is **injective** for  $n \geq 1$ . ■

Remark:

(i)  $\phi_n$  is **bijective** for  $n \geq 4$ .

(ii) Various  $X_{n+1} \rightarrow X_n$  “forgetting a factor” induce the **same**  $\text{Out}^{\text{FC}}(\Pi_{n+1}) \rightarrow \text{Out}^{\text{FC}}(\Pi_n)$ .

$$\boxed{\text{Thm 2}} \Rightarrow \boxed{\text{Thm 1}}$$

Let  $X \stackrel{\text{def}}{=} C \times_K \overline{K}$ ,  $k \stackrel{\text{def}}{=} \overline{K}$

$$( \rightsquigarrow \pi_1(C \times_K \overline{K}) = \pi_1(X) = \Pi_1 )$$

Note: The outer rep'n  $\rho : G_K \rightarrow \text{Out}(\Pi_1)$  factors as

$$G_K \rightarrow \text{Out}^{\text{FC}}(\Pi_1) \hookrightarrow \text{Out}(\Pi_1).$$

Thus, to show that  $\rho$  is injective, it suffices to show that

$$G_K \rightarrow \text{Out}^{\text{FC}}(\Pi_1) \text{ is } \text{injective}.$$

This follows from the commutativity of the diagram

$$\begin{array}{ccc}
 G_K & \longrightarrow & \text{Out}^{\text{FC}}(\Pi_1) \\
 \parallel & & \uparrow \phi_1 \\
 G_K & \longrightarrow & \text{Out}^{\text{FC}}(\Pi_2) \\
 \parallel & & \uparrow \phi_2 \\
 G_K & \longrightarrow & \text{Out}^{\text{FC}}(\Pi_3) \overset{\exists}{\dashrightarrow} \text{Out}(\pi_1(\mathbb{P}_{\overline{K}}^1 \setminus \{0, 1, \infty\}))
 \end{array}$$

$\curvearrowright$   
 injective by Belyĭ

Today, for simplicity, we consider the proof of the **injectivity** of  $\phi_1 : \text{Out}^{\text{FC}}(\Pi_2) \rightarrow \text{Out}^{\text{FC}}(\Pi_1)$ .

$\Rightarrow$  It suffices to verify:

Prop 3

Let

$$\text{Aut}^{\text{IFC}}(\Pi_2) \stackrel{\text{def}}{=} \left\{ \alpha \in \text{Aut}^{\text{FC}}(\Pi_2) \left| \begin{array}{l} \alpha \circlearrowleft \Pi_2 \\ \begin{array}{l} p_1 \nearrow \Pi_1 \circlearrowleft \alpha_1 = \text{id} \\ p_2 \searrow \Pi_1 \circlearrowleft \alpha_2 = \text{id} \end{array} \end{array} \right. \right\},$$

$$\Xi \stackrel{\text{def}}{=} \text{Ker}(p_1) \cap \text{Ker}(p_2) \quad [ \subseteq \Pi_2 ].$$

Then the injection

$$\Xi \xrightarrow{\text{conj.}} \text{Aut}^{\text{IFC}}(\Pi_2) \quad [\text{cf. the **slimness** of } \Pi_2]$$

is **bijective**. ■

Indeed, let  $\sigma \in \text{Aut}^{\text{FC}}(\Pi_2)$  s.t.

$$\sigma \circlearrowleft \Pi_2 \begin{array}{l} p_1 \nearrow \Pi_1 \circlearrowleft \sigma_1 = \text{Inn}(g_1) \\ p_2 \searrow \Pi_1 \circlearrowleft \sigma_2 \end{array}$$

Observe: Let  $\mathbb{D} \subseteq \Pi_2$  be a decomp. gp assoc. to the **diagonal**  $\subseteq X \times_k X$ . Then it holds that

$$\sigma(\mathbb{D}) = \pi \cdot \mathbb{D} \cdot \pi^{-1} \quad (\pi \in \Pi_2).$$

Thus, since

$$\begin{array}{ccc} \mathbb{D} & \hookrightarrow & \Pi_2 \\ \downarrow & & \downarrow (p_1, p_2) \\ \{(a, a)\} & \hookrightarrow & \Pi_1 \times \Pi_1 \end{array}$$

we conclude that

$$\sigma_2 = \text{Inn}(g_2) \quad (g_2 \in \Pi_1).$$

Let  $g \in \Pi_2$  s.t.  $p_1(g) = g_1$  and  $p_2(g) = g_2$ .

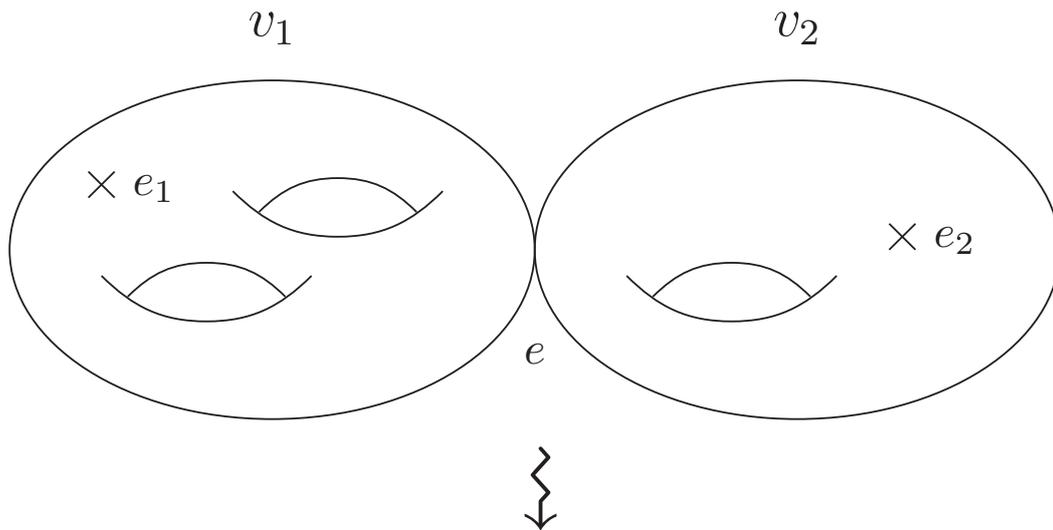
$$\rightsquigarrow \text{Inn}(g)^{-1} \circ \sigma \in \text{Aut}^{\text{IFC}}(\Pi_2) \xleftarrow{\sim} \Xi$$

$$\rightsquigarrow \sigma \in \text{Inn}(\Pi_2)$$

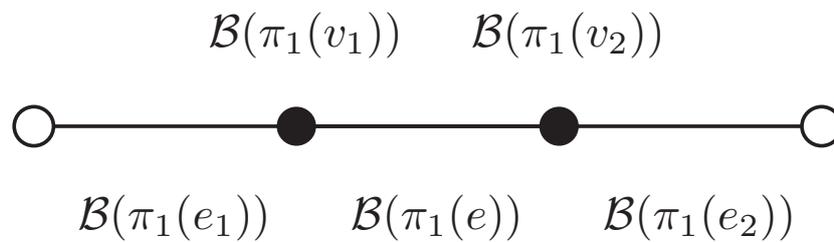
In the following, we consider the proof of Prop 3.

§2

A pointed stable curve /  $k$



A semi-graph of anabelioids of PSC-type



— where

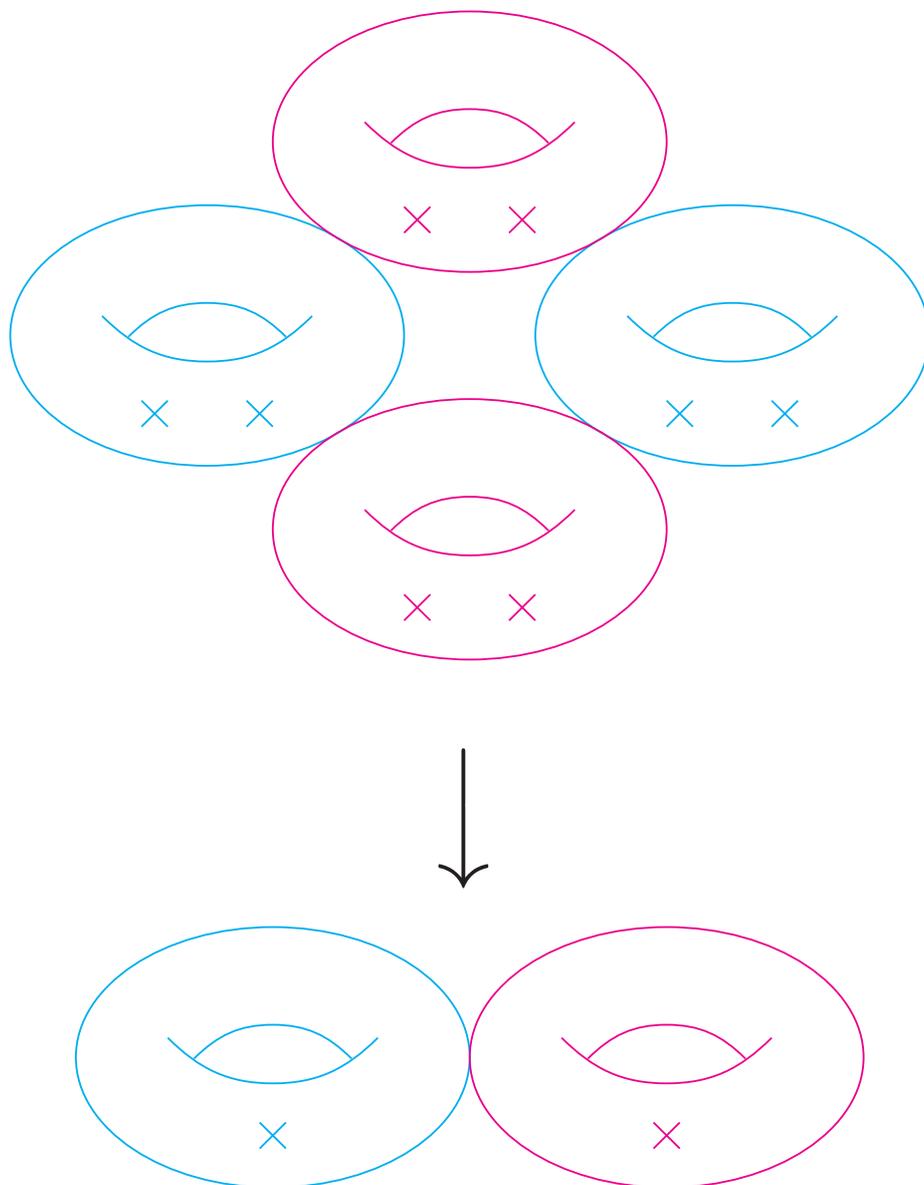
- \* irreducible component  $\leftrightarrow$  vertex
- \* node  $\leftrightarrow$  closed edge
- \* cusp  $\leftrightarrow$  open edge
- \*  $\mathcal{B}(G)$  : the connected anabelioid assoc. to  $G$

$\mathcal{G}$ : a semi-graph of anabelioids of PSC-type

$\rightsquigarrow$  A natural notion of **finite étale coverings** of  $\mathcal{G}$

$\rightsquigarrow$  One can define the [profinite] fundamental gp  $\Pi_{\mathcal{G}}$

Example: (A finite étale covering of degree 4)



Notation:

$\mathcal{G}$ : a semi-graph of anabelioids of PSC-type

$\mathbb{G}$ : the underlying semi-graph of  $\mathcal{G}$

$\mathcal{V}(\mathcal{G})$ : the set of **vertices** of  $\mathbb{G}$

$\mathcal{N}(\mathcal{G})$ : the set of **nodes** of  $\mathbb{G}$

$\mathcal{C}(\mathcal{G})$ : the set of **cusps** of  $\mathbb{G}$

$\mathcal{E}(\mathcal{G}) \stackrel{\text{def}}{=} \mathcal{N}(\mathcal{G}) \cup \mathcal{C}(\mathcal{G})$

In particular,  $z \in \mathcal{V}(\mathcal{G})$  (resp.  $\mathcal{N}(\mathcal{G})$ ;  $\mathcal{C}(\mathcal{G})$ ;  $\mathcal{E}(\mathcal{G})$ ) determines a(n) **verticial** (resp. **nodal**; **cuspidal**; **edge-like**) subgroup  $\Pi_z \subseteq \Pi_{\mathcal{G}}$  [up to  $\Pi_{\mathcal{G}}$ -conjugacy].

$G$ : a profinite group  $\supseteq H$ : a closed subgroup

$Z_G(H) \stackrel{\text{def}}{=} \{g \in G \mid g \cdot h = h \cdot g \text{ for } \forall h \in H\}$

$\cap$

$N_G(H) \stackrel{\text{def}}{=} \{g \in G \mid g \cdot H \cdot g^{-1} = H\}$

$\cap$

$C_G(H) \stackrel{\text{def}}{=} \left\{ g \in G \mid \left. \begin{array}{l} g \cdot H \cdot g^{-1} \cap H \\ \begin{array}{l} \xrightarrow{\text{open}} g \cdot H \cdot g^{-1} \\ \xleftarrow{\text{open}} H \end{array} \end{array} \right\}$

**Prop 4**

- (i) If  $z \in \mathcal{V}(\mathcal{G})$  (resp.  $\mathcal{E}(\mathcal{G})$ ), then  $\Pi_z$  is **slim** (resp.  $\cong \widehat{\mathbb{Z}}$ ).
- (ii) Let  $\{z_1, z_2\}$  be a subset of either  $\mathcal{V}(\mathcal{G})$  or  $\mathcal{E}(\mathcal{G})$ .  
If  $\Pi_{z_1} \cap \Pi_{z_2} \stackrel{\text{open}}{\subseteq} \Pi_{z_2}$ , then  $z_1 = z_2$ .
- (iii) If  $z \in \mathcal{V}(\mathcal{G}) \cup \mathcal{E}(\mathcal{G})$ , then  $C_{\Pi_{\mathcal{G}}}(\Pi_z) = \Pi_z$ .
- (iv) Let  $z \in \mathcal{E}(\mathcal{G})$ . Then  $\Pi_z \subseteq \Pi_{\mathcal{G}}$  is **cuspidal** (resp. **nodal**)  $\Leftrightarrow \Pi_z$  is contained in precisely **one** (resp. **two**) verticial subgroup(s). ■

**Definition**

$\mathcal{G}, \mathcal{H}$ : semi-graphs of anabelioids of PSC-type

$\alpha : \Pi_{\mathcal{G}} \xrightarrow{\sim} \Pi_{\mathcal{H}}$ : an isomorphism of profinite groups

- (i)  $\alpha$  is **graphic**  $\Leftrightarrow \alpha$  arises from an isom  $\mathcal{G} \xrightarrow{\sim} \mathcal{H}$
- (ii)  $\alpha$  is **group-theoretically verticial** (resp. **nodal**; **cuspidal**; **edge-like**)  $\Leftrightarrow \alpha$  maps each verticial (resp. nodal; cusp'l; edge-like) subgp  $\subseteq \Pi_{\mathcal{G}}$  onto a verticial (resp. nodal; cusp'l; edge-like) subgp  $\subseteq \Pi_{\mathcal{H}}$ , and, every verticial (resp. nodal; cusp'l; edge-like) subgp  $\subseteq \Pi_{\mathcal{H}}$  arises in this fashion.

**Prop 5**

$\alpha : \Pi_{\mathcal{G}} \xrightarrow{\sim} \Pi_{\mathcal{H}}$ : an isomorphism of profinite groups

Then  $\alpha$  is graphic  $\Leftrightarrow \alpha$  is **group-theoretically vertical** and **group-theoretically edge-like**.

Moreover, in this case,  $\alpha$  arises a **unique** isom  $\mathcal{G} \xrightarrow{\sim} \mathcal{H}$ . ■

(Proof) Prop 5 follows from Prop 4, (ii), (iii).

Observe:  $\Pi_{\mathcal{G}}$  is topologically finitely generated

$\rightsquigarrow$  A profinite topology on  $\text{Out}(\Pi_{\mathcal{G}})$

Since the natural hom.  $\text{Aut}(\mathcal{G}) \rightarrow \text{Out}(\Pi_{\mathcal{G}})$  is an **injection with closed image** [cf. Prop 5],

$\rightsquigarrow$  A profinite topology on  $\text{Aut}(\mathcal{G})$

**Definition**

$I$ : a profinite group

We refer to a continuous hom.

$$I \rightarrow \text{Aut}(\mathcal{G}) \left[ \hookrightarrow \text{Out}(\Pi_{\mathcal{G}}) \right]$$

as an **outer representation of PSC-type**.

Thm 6 (A comb. ver. of the Grothendieck Conj.)

$\mathcal{G}, \mathcal{H}$ : semi-graphs of anabelioids of PSC-type

$\rho_I : I \rightarrow \text{Aut}(\mathcal{G}), \rho_J : J \rightarrow \text{Aut}(\mathcal{H})$ :

outer representations of PSC-type

$\alpha : \Pi_{\mathcal{G}} \xrightarrow{\sim} \Pi_{\mathcal{H}}$ : an isomorphism of profinite groups

which fits into a commutative diagram

$$\begin{array}{ccccc}
 I & \xrightarrow{\rho_I} & \text{Aut}(\mathcal{G}) & \hookrightarrow & \text{Out}(\Pi_{\mathcal{G}}) \\
 \downarrow \wr & & & & \downarrow \wr \text{Out}(\alpha) \\
 J & \xrightarrow{\rho_J} & \text{Aut}(\mathcal{H}) & \hookrightarrow & \text{Out}(\Pi_{\mathcal{H}})
 \end{array}$$

— where  $I \xrightarrow{\sim} J$  is an isomorphism. Suppose that

- (i)  $\rho_I, \rho_J$  are **of NN-type** [cf. §4].
- (ii)  $\mathcal{C}(\mathcal{G}) \neq \emptyset$  and  $\alpha$  is **group-theoretically cusp'l**.

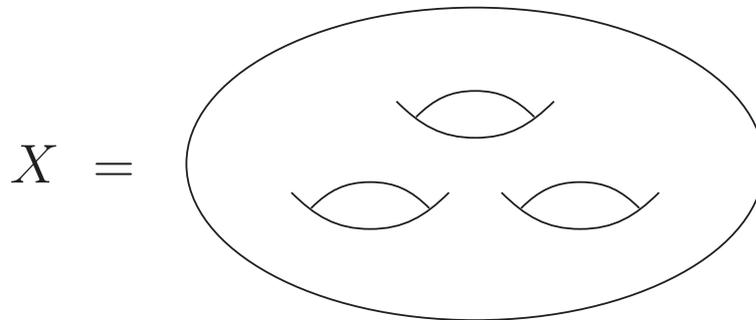
Then  $\alpha$  is **graphic** [cf. Prop 5]. ■

§3

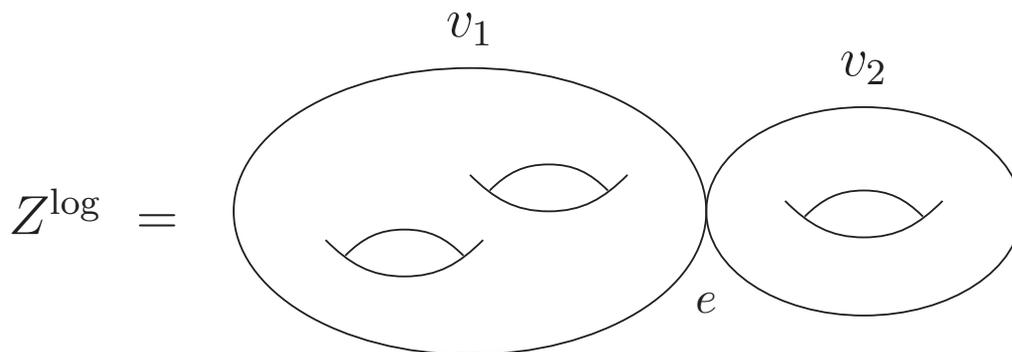
(Proof of Prop 3)

Remark: At last year's seminar, we have already discussed the **affine** case of Prop 3!

For simplicity, let



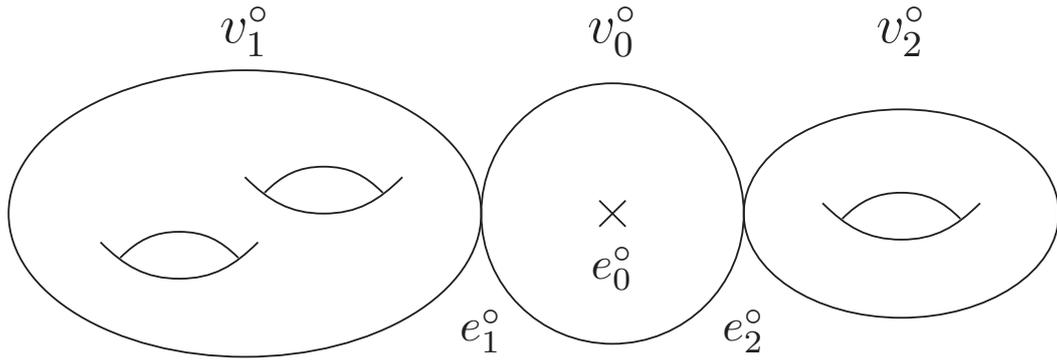
To verify Prop 3, we may replace  $X \rightarrow \text{Spec}(k)$  by a **stable log curve**



over a log point  $S^{\text{log}}$ . [cf. the deformation theory of stable log curves; the specialization isomorphism  $\Pi_n = \pi_1(X_n) \xrightarrow{\sim} \text{Ker}(\pi_1^{\text{log}}(Z_n^{\text{log}}) \twoheadrightarrow \pi_1^{\text{log}}(S^{\text{log}}))$ ]

$Z^{\log} \rightsquigarrow$  a semi-graph of anabelioids of PSC-type  $\mathcal{G}$

Observe: The **fiber**  $(Z_2^{\log})_e$  of  $\text{pr}_1 : Z_2^{\log} \rightarrow Z^{\log}$  at  $e$  is



$\rightsquigarrow$  a semi-graph of anabelioids of PSC-type  $\mathcal{G}/_e$

In particular, we have

$$\begin{array}{ccccccc}
 1 & \longrightarrow & \Pi_{2/1} & \longrightarrow & \Pi_2 & \xrightarrow{p_1} & \Pi_1 & \longrightarrow & 1 \\
 & & \uparrow \wr & & & & \uparrow \wr & & \\
 & & \Pi_{\mathcal{G}/_e} & & & & \Pi_{\mathcal{G}} & & 
 \end{array}$$

— where  $\Pi_{2/1} \stackrel{\text{def}}{=} \text{Ker}(\Pi_2 \rightarrow \Pi_1)$ ; the horizontal seq. is exact.

$\rightsquigarrow$  an outer rep'n  $\rho : \Pi_1 \rightarrow \text{Out}(\Pi_{2/1})$

$Y \subseteq Z$ : the irreducible component corr. to  $v_1$

$Y^{\log}$ : the **smooth** log curve over  $S^{\log}$  determined by the hyperbolic curve  $Y \setminus \{e\}$

$$\Pi_n^{\text{sub}} \stackrel{\text{def}}{=} \text{Ker}(\pi_1(Y_n^{\log}) \twoheadrightarrow \pi_1(S^{\log}))$$

$$\Pi_{2/1}^{\text{sub}} \stackrel{\text{def}}{=} \text{Ker}(\Pi_2^{\text{sub}} \twoheadrightarrow \Pi_1^{\text{sub}})$$

Note: The natural closed imm.  $Y \hookrightarrow Z$  induces a commutative diagram

$$\begin{array}{ccc} Y_2 & \longrightarrow & Z_2 \\ \downarrow \text{pr}_1 & & \downarrow \text{pr}_1 \\ Y & \longrightarrow & Z \end{array}$$

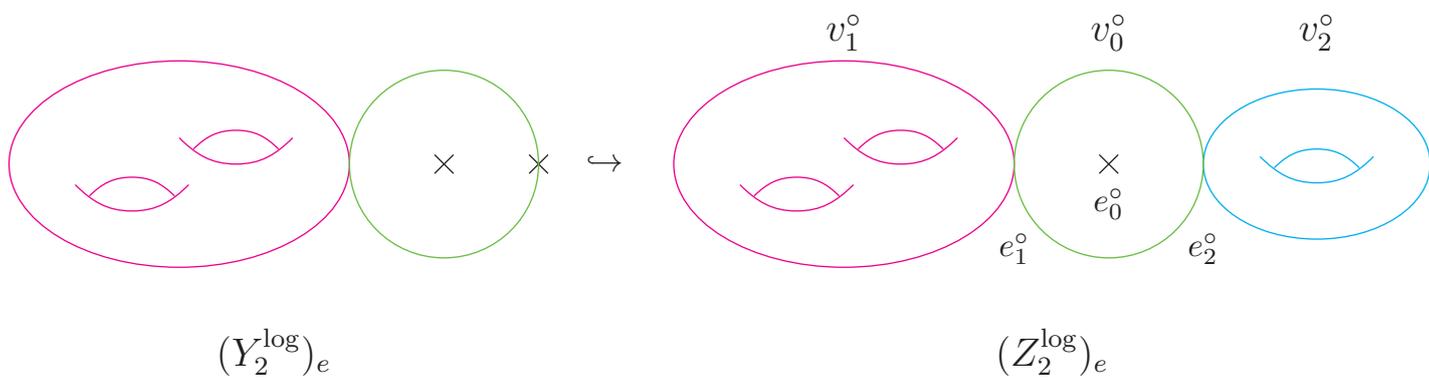
This diagram induces a commutative diagram

$$\begin{array}{ccccccc} 1 & \longrightarrow & \Pi_{2/1}^{\text{sub}} & \longrightarrow & \Pi_2^{\text{sub}} & \longrightarrow & \Pi_1^{\text{sub}} \longrightarrow 1 \\ & & \downarrow & & \downarrow & & \downarrow \\ 1 & \longrightarrow & \Pi_{2/1} & \longrightarrow & \Pi_2 & \xrightarrow{p_1} & \Pi_1 \longrightarrow 1 \end{array}$$

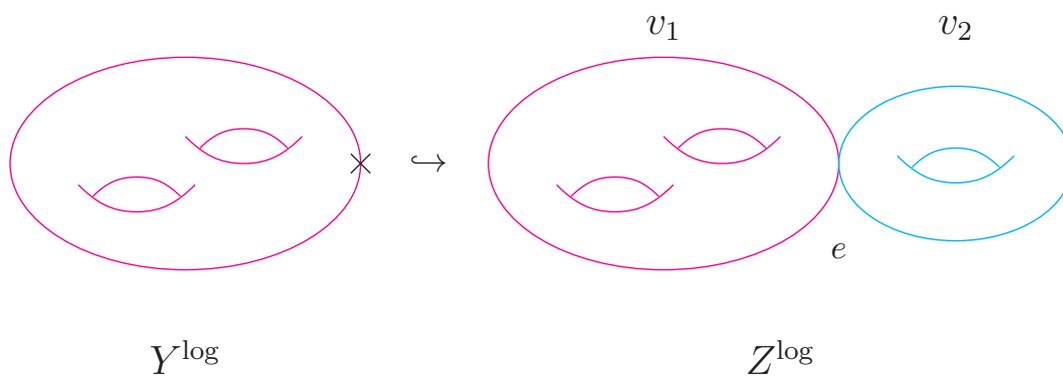
— where the horizontal seq. is exact; the vertical arrows are **injective**.

$\rightsquigarrow$  an outer rep'n  $\rho^{\text{sub}} : \Pi_1^{\text{sub}} \rightarrow \text{Out}(\Pi_{2/1}^{\text{sub}})$

$$\underline{\Pi_{2/1}^{\text{sub}} \hookrightarrow \Pi_{2/1}}$$



$$\underline{\Pi_1^{\text{sub}} \hookrightarrow \Pi_1}$$



Let  $\alpha \in \text{Aut}^{\text{IFC}}(\Pi_2)$ .

$\Pi_e \subseteq \Pi_1$ : an edge-like subgp assoc. to  $e$

$\rightsquigarrow$  We have a comm. diag.

$$\begin{array}{ccccc}
 \Pi_e & \hookrightarrow & \Pi_1 & \xrightarrow{\rho} & \text{Out}(\Pi_{2/1}) \\
 \parallel & & \parallel & & \downarrow \wr \text{Out}(\alpha|_{\Pi_{2/1}}) \\
 \Pi_e & \hookrightarrow & \Pi_1 & \xrightarrow{\rho} & \text{Out}(\Pi_{2/1})
 \end{array}$$

Note: Since the composite  $\Pi_e \hookrightarrow \Pi_1 \rightarrow \text{Out}(\Pi_{2/1})$  factors as the composite of

$$\Pi_e \rightarrow \text{Aut}(\mathcal{G}/_e) \text{ with } \text{Aut}(\mathcal{G}/_e) \hookrightarrow \text{Out}(\Pi_{2/1})$$

— where the resulting outer rep'n is **of NN-type** —  
it follows from Thm 6 that  $\alpha|_{\Pi_{2/1}}$  is **graphic**.

Moreover, since

$$\alpha \curvearrowright \Pi_2 \xrightarrow{p_2} \Pi_1 \curvearrowleft \alpha_2 = \text{id}$$

$\alpha|_{\Pi_{2/1}}$  induces **identity automorphism** on “ $\mathbb{G}/_e$ ”  
[cf. Prop 4, (i), (ii)].

Fix an edge-like subgroup  $\Pi_{e_1^\circ} \subseteq \Pi_{2/1}$  assoc. to  $e_1^\circ$ .

Claim:  $\exists \gamma \in \Xi$  s.t.

$$\alpha(\Pi_{e_1^\circ}) = \gamma \cdot \Pi_{e_1^\circ} \cdot \gamma^{-1}.$$

(Proof of Claim)

By the above Note, there exists  $\gamma' \in \Pi_{2/1}$  s.t.

$$\alpha(\Pi_{e_1^\circ}) = \gamma' \cdot \Pi_{e_1^\circ} \cdot \gamma'^{-1}.$$

Thus, we have

$$p_2(\Pi_{e_1^\circ}) = p_2(\gamma') \cdot p_2(\Pi_{e_1^\circ}) \cdot p_2(\gamma')^{-1}.$$

By Prop4, (iii), we conclude that

$$p_2(\gamma') \in p_2(\Pi_{e_1^\circ}).$$

In particular, by multiplying  $\gamma'$  by a suitable  $\in \Pi_{e_1^\circ}$ , we obtain an element  $\gamma \in \Xi$ , as desired.

In light of Claim, to verify that  $\alpha \in \Xi$ , we may assume that

$$(1) \quad \alpha(\Pi_{e_1^\circ}) = \Pi_{e_1^\circ}.$$

$\Pi_{v_1^\circ}, \Pi_{v_0^\circ} \subseteq \Pi_{2/1}$ : the **unique** vertical subgps assoc. to  $v_1^\circ, v_0^\circ$  that contain  $\Pi_{e_1^\circ}$  [cf. Prop 4, (iv)]

$\Pi_{2/1}^{\text{sub}} \subseteq \Pi_{2/1}$ : the **unique**  $\Pi_{2/1}$ -conj. of the image of “ $\Pi_{2/1}^{\text{sub}} \hookrightarrow \Pi_{2/1}$ ” that contains and is topologically generated by  $\Pi_{v_1^\circ}, \Pi_{v_0^\circ}$

$\rightsquigarrow$  By (1) and the graphicity of  $\alpha|_{\Pi_{2/1}}$ , we conclude:

$$(2) \quad \alpha(\Pi_{v_1^\circ}) = (\Pi_{v_1^\circ});$$

$$(3) \quad \alpha(\Pi_{v_0^\circ}) = (\Pi_{v_0^\circ});$$

$$(4) \quad \alpha(\Pi_{2/1}^{\text{sub}}) = (\Pi_{2/1}^{\text{sub}}).$$

Observe: Since  $C_{\Pi_{2/1}}(\Pi_{2/1}^{\text{sub}}) = \Pi_{2/1}^{\text{sub}}$  [cf. Prop 4, (iii)], the diagram

$$\begin{array}{ccc} \Pi_1^{\text{sub}} & \xrightarrow{\rho^{\text{sub}}} & \text{Out}(\Pi_{2/1}^{\text{sub}}) \\ \parallel & & \downarrow \wr \text{Out}(\alpha|_{\Pi_{2/1}^{\text{sub}}}) \\ \Pi_1^{\text{sub}} & \xrightarrow{\rho^{\text{sub}}} & \text{Out}(\Pi_{2/1}^{\text{sub}}) \end{array}$$

[cf. (4)] commutes. Thus,  $\alpha|_{\Pi_{2/1}^{\text{sub}}}$  arises from an  $\alpha^{\text{sub}} \in \text{Aut}(\Pi_{2/1}^{\text{sub}})$  [cf. the slimness of  $\Pi_{2/1}^{\text{sub}}$ ].

Moreover, it follows from the construction that

$$\alpha^{\text{sub}} \in \text{Aut}^{\text{IFC}}(\Pi_2^{\text{sub}}).$$

On the other hand, by the **affine** case of Prop 3 [cf. Remark at the beginning of the proof], we have

$$\text{Aut}^{\text{IFC}}(\Pi_2^{\text{sub}}) \xleftarrow{\sim} \Xi^{\text{sub}} \stackrel{\text{def}}{=} \Xi \cap \Pi_2^{\text{sub}}.$$

$\rightsquigarrow \alpha|_{\Pi_{2/1}^{\text{sub}}}$  is a  $\Xi$ -inner automorphism!

Thus, to verify that  $\alpha \in \Xi$ , we may assume that

$$(5) \quad \alpha|_{\Pi_{2/1}^{\text{sub}}} = \text{id}.$$

$$\rightsquigarrow (6) \quad \alpha|_{\Pi_{v_0^\circ}} = \text{id} \text{ [cf. (3)]}$$

$\Pi_{e_2^\circ} \subseteq \Pi_{2/1}$ : an edge-like subgp assoc. to  $e_2^\circ$  which is contained in  $\Pi_{v_0^\circ}$

$\Pi_{v_2^\circ} \subseteq \Pi_{2/1}$ : the **unique** vertical subgp assoc. to  $v_2$  that contains  $\Pi_{e_2^\circ}$  [cf. Prop 4, (iv)]

$$\rightsquigarrow (7) \quad \alpha(\Pi_{e_2^\circ}) = \Pi_{e_2^\circ}$$

By (7) and the graphicity of  $\alpha|_{\Pi_{2/1}}$ , we conclude:

$$(8) \quad \alpha(\Pi_{v_2^\circ}) = \Pi_{v_2^\circ}.$$

By (8), we obtain a comm. diag.

$$\begin{array}{ccc}
 \Pi_{v_2^\circ} & \xrightarrow[\alpha|_{\Pi_{v_2^\circ}}]{\sim} & \Pi_{v_2^\circ} \\
 \downarrow \wr & & \downarrow \wr \\
 p_2(\Pi_{v_2^\circ}) & \xlongequal{\quad} & p_2(\Pi_{v_2^\circ})
 \end{array}$$

Hence, we have

$$(9) \quad \alpha|_{\Pi_{v_2^\circ}} = \text{id}.$$

Since  $\Pi_{2/1}$  is topologically generated by  $\Pi_{2/1}^{\text{sub}}$  and  $\Pi_{v_2^\circ}$ , it follows from (5), (9) that

$$(10) \quad \alpha|_{\Pi_{2/1}} = \text{id}.$$

Finally, it follows from (10) and the assumption

$$\alpha \circlearrowleft \Pi_2 \xrightarrow{p_1} \Pi_1 \circlearrowright \alpha_1 = \text{id}$$

that  $\alpha = \text{id}$  [cf. the **slimness** of  $\Pi_{2/1}$ ].

§4

Fix a **universal covering**  $\tilde{\mathcal{G}} \rightarrow \mathcal{G}$ .

$$\mathcal{V}(\tilde{\mathcal{G}}) \stackrel{\text{def}}{=} \varprojlim \mathcal{V}(\mathcal{G}')$$

$$\mathcal{N}(\tilde{\mathcal{G}}) \stackrel{\text{def}}{=} \varprojlim \mathcal{N}(\mathcal{G}')$$

$$\mathcal{C}(\tilde{\mathcal{G}}) \stackrel{\text{def}}{=} \varprojlim \mathcal{C}(\mathcal{G}')$$

$$\mathcal{E}(\tilde{\mathcal{G}}) \stackrel{\text{def}}{=} \mathcal{N}(\tilde{\mathcal{G}}) \cup \mathcal{C}(\tilde{\mathcal{G}})$$

— where the proj. limits are over all conn. fin. étale subcoverings  $\mathcal{G}' \rightarrow \mathcal{G}$  of  $\tilde{\mathcal{G}} \rightarrow \mathcal{G}$

Let  $\square \in \{\mathcal{V}, \mathcal{E}\}$ .

If  $\tilde{z} \in \square(\tilde{\mathcal{G}})$ , then we write  $\tilde{z}(\mathcal{G}')$  for the image of  $\tilde{z}$  via the natural map  $\square(\tilde{\mathcal{G}}) \rightarrow \square(\mathcal{G}')$ .

$\Pi_{\tilde{z}} \subseteq \Pi_{\mathcal{G}}$ : a **unique**  $\square$ -like subgroup assoc. to  $\tilde{z}(\mathcal{G})$  s.t. for every conn. fin. étale subcovering  $\mathcal{G}' \rightarrow \mathcal{G}$  of  $\tilde{\mathcal{G}} \rightarrow \mathcal{G}$ , the subgroup  $\Pi_{\tilde{z}} \cap \Pi_{\mathcal{G}'} \subseteq \Pi_{\mathcal{G}'}$  is a  $\square$ -like subgroup assoc. to  $\tilde{z}(\mathcal{G}')$

$\rho_I : I \rightarrow \text{Aut}(\mathcal{G})$ : an outer rep'n of PSC-type

$\Pi_I \stackrel{\text{def}}{=} \Pi_{\mathcal{G}} \overset{\text{out}}{\rtimes} I$ : the profinite group obtained by pulling back the exact sequence

$$1 \longrightarrow \Pi_{\mathcal{G}} \xrightarrow{\text{conj.}} \text{Aut}(\Pi_{\mathcal{G}}) \longrightarrow \text{Out}(\Pi_{\mathcal{G}}) \longrightarrow 1$$

by the composite  $I \xrightarrow{\rho_I} \text{Aut}(\mathcal{G}) \hookrightarrow \text{Out}(\Pi_{\mathcal{G}})$ .

$\rightsquigarrow$  we have a comm. diag.

$$\begin{array}{ccccccc} 1 & \longrightarrow & \Pi_{\mathcal{G}} & \longrightarrow & \Pi_I & \longrightarrow & I & \longrightarrow & 1 \\ & & \parallel & & \downarrow & & \downarrow \rho_I & & \\ 1 & \longrightarrow & \Pi_{\mathcal{G}} & \longrightarrow & \text{Aut}(\Pi_{\mathcal{G}}) & \longrightarrow & \text{Out}(\Pi_{\mathcal{G}}) & \longrightarrow & 1 \end{array}$$

— where the horizontal seq. are exact.

Definition

(i) If  $\tilde{z} \in \mathcal{V}(\tilde{\mathcal{G}})$  or  $\mathcal{N}(\tilde{\mathcal{G}})$ , then we shall write

$$I_{\tilde{z}} \stackrel{\text{def}}{=} Z_{\Pi_I}(\Pi_{\tilde{z}}) \subseteq D_{\tilde{z}} \stackrel{\text{def}}{=} N_{\Pi_I}(\Pi_{\tilde{z}}).$$

(ii) If  $\tilde{z} \in \mathcal{C}(\tilde{\mathcal{G}})$ , then we shall write

$$I_{\tilde{z}} \stackrel{\text{def}}{=} \Pi_{\tilde{z}} \subseteq D_{\tilde{z}} \stackrel{\text{def}}{=} N_{\Pi_I}(\Pi_{\tilde{z}}).$$

**Lem 7**

- (i) Let  $\tilde{v} \in \mathcal{V}(\tilde{\mathcal{G}})$ . Then the composite  $I_{\tilde{v}} \hookrightarrow \Pi_I \twoheadrightarrow I$  is **injective**.
- (ii) Let  $\tilde{e} \in \mathcal{N}(\tilde{\mathcal{G}})$  that abuts to  $\tilde{v} \in \mathcal{V}(\tilde{\mathcal{G}})$ . Then it holds that  $I_{\tilde{v}} \subseteq I_{\tilde{e}}$ . ■

(Proof) (i) follows from Prop 4, (i), (iii). (ii) is easy.

**Definition**

$\rho_I : I \rightarrow \text{Aut}(\mathcal{G})$ : an outer rep'n of PSC-type

$\rho_I$  is of **NN-type** (resp. **SNN-type**)  $\Leftrightarrow$

- (1)  $I \cong \hat{\mathbb{Z}}$ .
- (2) For every  $\tilde{v} \in \mathcal{V}(\tilde{\mathcal{G}})$ , the image of the composite  $I_{\tilde{v}} \hookrightarrow \Pi_I \twoheadrightarrow I$  is **open** (resp. **I**) [cf. Lem 7, (i)].
- (3) For every  $\tilde{e} \in \mathcal{N}(\tilde{\mathcal{G}})$ , the natural inclusions  $I_{\tilde{v}_1}, I_{\tilde{v}_2} \subseteq I_{\tilde{e}}$  — where  $\tilde{e}$  abuts to  $\tilde{v}_1, \tilde{v}_2 \in \mathcal{V}(\tilde{\mathcal{G}})$  [cf. Lem 7, (ii)] — induces an **open injection**  $I_{\tilde{v}_1} \times I_{\tilde{v}_2} \hookrightarrow I_{\tilde{e}}$ .

Lem 8  $\rho_I : I \rightarrow \text{Aut}(\mathcal{G})$ : of **SNN-type**

- (i) Let  $\tilde{v} \in \mathcal{V}(\tilde{\mathcal{G}})$ . Then  $D_{\tilde{v}} = \Pi_{\tilde{v}} \times I_{\tilde{v}}$ .
- (ii) Let  $\tilde{v} \in \mathcal{V}(\tilde{\mathcal{G}})$ ;  $\tilde{e} \in \mathcal{E}(\tilde{\mathcal{G}})$  an element that abuts to  $\tilde{v}$ . Then  $D_{\tilde{e}} = \Pi_{\tilde{e}} \times I_{\tilde{v}}$ .
- (iii)  $\Pi_{\tilde{v}} = Z_{\Pi_I}(I_{\tilde{v}}) \cap \Pi_{\mathcal{G}} = N_{\Pi_I}(I_{\tilde{v}}) \cap \Pi_{\mathcal{G}}$ . ■

Notation:

- (i) Let  $v, w \in \mathcal{V}(\mathcal{G})$ . We shall write  $\delta(v, w) \leq n$  if the following conditions are satisfied:

- \* If  $n = 0$ , then  $v = w$ .
- \* If  $n \geq 1$ , then there exist

$$\{e_1, \dots, e_n\} \subseteq \mathcal{N}(\mathcal{G}); \{v_0, \dots, v_n\} \subseteq \mathcal{V}(\mathcal{G})$$

— where  $v_0 = v$ ;  $v_n = w$ ;  $e_i$  abuts to  $v_{i-1}, v_i$ .

We shall write  $\delta(v, w) = n$  if  $\delta(v, w) \leq n$  and  $\delta(v, w) \not\leq n - 1$ .

- (ii) Let  $\tilde{v}, \tilde{w} \in \mathcal{V}(\tilde{\mathcal{G}})$ . We shall write

$$\delta(\tilde{v}, \tilde{w}) \stackrel{\text{def}}{=} \sup_{\mathcal{G}'} \{\delta(\tilde{v}(\mathcal{G}'), \tilde{w}(\mathcal{G}'))\}.$$

Lem 9

$\rho_I : I \rightarrow \text{Aut}(\mathcal{G})$ : an outer rep'n of PSC-type

Let  $\tilde{v}_1, \tilde{v}_2 \in \mathcal{V}(\tilde{\mathcal{G}})$ .

Consider the following 8 conditions:

(1)  $\delta(\tilde{v}_1, \tilde{v}_2) = 0$ .

(2)  $\delta(\tilde{v}_1, \tilde{v}_2) = 1$ .

(3)  $\delta(\tilde{v}_1, \tilde{v}_2) = 2$ .

(4)  $\delta(\tilde{v}_1, \tilde{v}_2) \geq 3$ .

(1')  $D_{\tilde{v}_1} = D_{\tilde{v}_2}$ .

(2')  $D_{\tilde{v}_1} \neq D_{\tilde{v}_2}; D_{\tilde{v}_1} \cap D_{\tilde{v}_2} \cap \Pi_{\mathcal{G}} \neq \{1\}$ .

(3')  $D_{\tilde{v}_1} \cap D_{\tilde{v}_2} \neq \{1\}; D_{\tilde{v}_1} \cap D_{\tilde{v}_2} \cap \Pi_{\mathcal{G}} = \{1\}$ .

(4')  $D_{\tilde{v}_1} \cap D_{\tilde{v}_2} = \{1\}$ .

Then we have equivalences

(1)  $\Leftrightarrow$  (1'); (2)  $\Leftrightarrow$  (2'); (3)  $\Leftrightarrow$  (3'); (4)  $\Leftrightarrow$  (4').

Moreover, suppose that  $\rho_I$  is of **SNN-type**.

Then if (3') is satisfied, then there exists a **unique**

$\tilde{v}_3 \in \mathcal{V}(\tilde{\mathcal{G}})$  s.t.

$$\delta(\tilde{v}_1, \tilde{v}_3) = \delta(\tilde{v}_2, \tilde{v}_3) = 1 \quad \text{and} \quad D_{\tilde{v}_1} \cap D_{\tilde{v}_2} = I_{\tilde{v}_3}. \quad \blacksquare$$

(Proof of Thm 6)

To verify Thm 6, by replacing  $\Pi_I$  by an open subgroup  $\subseteq \Pi_I$ , we may assume that  $\mathcal{G}, \mathcal{H}$  are **sturdy**, and that  $\rho_I, \rho_J$  are of **SNN-type** [cf. Prop 4, (iii)].

\* **sturdy** ... Every irr. component of the pointed stable curve that gives rise to  $\mathcal{G}$  satisfies the following:

The genus of the normalization is  $\geq 2$ .

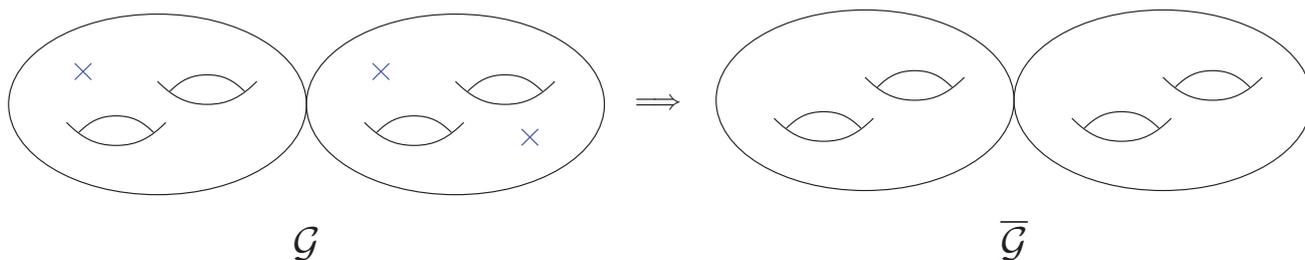
Thus, to verify Thm 6, it suffices to verify

Claim A:

$\bar{\mathcal{G}}, \bar{\mathcal{H}}$ : the **compactifications** of  $\mathcal{G}, \mathcal{H}$ , respectively

Then the isom.  $\bar{\alpha} : \Pi_{\bar{\mathcal{G}}} \xrightarrow{\sim} \Pi_{\bar{\mathcal{H}}}$  which is induced by  $\alpha$  is **graphic**. ■

[We apply Claim A to various conn. fin. ét. coverings!]



Lem 10

Gp-theoretically **verticial**  $\Rightarrow$  Gp-theoretically **nodal**. ■

It follows from Prop 5, Lem 10 that Claim A  $\Leftrightarrow$

Claim B:

$\bar{\alpha} : \Pi_{\bar{\mathcal{G}}} \xrightarrow{\sim} \Pi_{\bar{\mathcal{H}}}$  is **gp-theoretically verticial**. ■

(Proof of Claim B) First, let us prove that:

There exists a **verticial** subgroup  $\nabla \subseteq \Pi_{\bar{\mathcal{G}}}$  s.t.  
 $\bar{\alpha}(\nabla)$  is a **verticial** subgroup  $\subseteq \Pi_{\bar{\mathcal{H}}}$ .

Write  $I \rightarrow \text{Out}(\Pi_{\bar{\mathcal{G}}})$  (resp.  $J \rightarrow \text{Out}(\Pi_{\bar{\mathcal{H}}})$ ) for the outer rep'n of PSC-type determined by  $\rho_I$  (resp.  $\rho_J$ ) and

$$\bar{\Pi}_I \stackrel{\text{def}}{=} \Pi_{\bar{\mathcal{G}}}^{\text{out}} \rtimes I, \quad \bar{\Pi}_J \stackrel{\text{def}}{=} \Pi_{\bar{\mathcal{H}}}^{\text{out}} \rtimes J$$

$\rightsquigarrow \alpha : \Pi_{\mathcal{G}} \xrightarrow{\sim} \Pi_{\mathcal{H}}$  [and  $\bar{\alpha}$ ] induces a comm. diag.

$$\begin{array}{ccc}
 \Pi_I = \Pi_{\mathcal{G}}^{\text{out}} \rtimes I & \xrightarrow[\beta]{\sim} & \Pi_{\mathcal{H}}^{\text{out}} \rtimes J = \Pi_J \\
 (\star) \quad \downarrow & & \downarrow \\
 \bar{\Pi}_I = \Pi_{\bar{\mathcal{G}}}^{\text{out}} \rtimes I & \xrightarrow[\bar{\beta}]{\sim} & \Pi_{\bar{\mathcal{H}}}^{\text{out}} \rtimes J = \bar{\Pi}_J
 \end{array}$$

— where the vertical arrows are the surj. induced by  $\Pi_{\mathcal{G}} \twoheadrightarrow \Pi_{\bar{\mathcal{G}}}$ ,  $\Pi_{\mathcal{H}} \twoheadrightarrow \Pi_{\bar{\mathcal{H}}}$ .

By assumption (ii),  $\exists e_{\mathcal{G}} \in \mathcal{C}(\mathcal{G})$ ,  $\exists e_{\mathcal{H}} \in \mathcal{C}(\mathcal{H})$  s.t.

$$\beta(D_{e_{\mathcal{G}}}) = D_{e_{\mathcal{H}}}.$$

Write  $v_{\mathcal{G}} \in \mathcal{V}(\mathcal{G})$  (resp.  $v_{\mathcal{H}} \in \mathcal{V}(\mathcal{H})$ ) for the vertex to which  $e_{\mathcal{G}}$  (resp.  $e_{\mathcal{H}}$ ) abuts.

By Lem 8, (ii), the diag.  $(\star)$  induces a diag.

$$\begin{array}{ccc} D_{e_{\mathcal{G}}} & \xrightarrow[\beta]{\sim} & D_{e_{\mathcal{H}}} \\ \downarrow & & \downarrow \\ I_{v_{\mathcal{G}}} & \xrightarrow[\bar{\beta}]{\sim} & I_{v_{\mathcal{H}}} \end{array} \rightsquigarrow \bar{\beta}(I_{v_{\mathcal{G}}}) = I_{v_{\mathcal{H}}} !$$

Thus, we conclude from Lem 8, (iii), that

$$\begin{aligned} \bar{\alpha}(\Pi_{v_{\mathcal{G}}}) &= \bar{\beta}(N_{\bar{\Pi}_I}(I_{v_{\mathcal{G}}}) \cap \Pi_{\bar{\mathcal{G}}}) \\ &= N_{\bar{\Pi}_I}(\bar{\beta}(I_{v_{\mathcal{G}}})) \cap \Pi_{\bar{\mathcal{H}}} \\ &= N_{\bar{\Pi}_I}(I_{v_{\mathcal{H}}}) \cap \Pi_{\bar{\mathcal{H}}} \\ &= \Pi_{v_{\mathcal{H}}}. \rightsquigarrow \nabla \stackrel{\text{def}}{=} \Pi_{v_{\mathcal{G}}} \end{aligned}$$

Therefore, to verify Claim B, it suffices to show that:

Let  $\tilde{v}_1, \tilde{v}_2 \in \mathcal{V}(\tilde{\mathcal{G}})$  s.t.  $\delta(\tilde{v}_1(\bar{\mathcal{G}}), \tilde{v}_2(\bar{\mathcal{G}})) \leq 1$ . Then if  $\bar{\alpha}(\Pi_{\tilde{v}_1})$  is **verticial**, then  $\bar{\alpha}(\Pi_{\tilde{v}_2})$  is **verticial**.

If  $\tilde{v}_1(\overline{\mathcal{G}}) = \tilde{v}_2(\overline{\mathcal{G}})$ , then it is immediate. Suppose that  $\tilde{v}_1(\overline{\mathcal{G}}) \neq \tilde{v}_2(\overline{\mathcal{G}})$  and that  $\overline{\alpha}(\Pi_{\tilde{v}_1})$  is **vertical**.

Observe: There exist  $\tilde{w}_1, \tilde{u}_1, \tilde{w}_2 \in \mathcal{V}(\overline{\mathcal{G}})$  s.t.

- (a)  $\tilde{v}_1(\overline{\mathcal{G}}) = \tilde{w}_1(\overline{\mathcal{G}}) = \tilde{u}_1(\overline{\mathcal{G}}); \tilde{v}_2(\overline{\mathcal{G}}) = \tilde{w}_2(\overline{\mathcal{G}}).$
- (b)  $\delta(\tilde{w}_1, \tilde{u}_1) = 2.$
- (c)  $\delta(\tilde{w}_2, \tilde{w}_1) = \delta(\tilde{w}_2, \tilde{u}_1) = 1.$  [cf. the next page]

- (a)  $\rightsquigarrow$  There exist  $\tilde{w}'_1, \tilde{u}'_1 \in \mathcal{V}(\overline{\mathcal{H}})$  s.t.

$$\overline{\beta}(D_{\tilde{w}_1}) = D_{\tilde{w}'_1}, \quad \overline{\beta}(D_{\tilde{u}_1}) = D_{\tilde{u}'_1}.$$

- (b), (c), Lem 9  $\rightsquigarrow D_{\tilde{w}_1} \cap D_{\tilde{u}_1} = I_{\tilde{w}_2}$

$$\rightsquigarrow D_{\tilde{w}'_1} \cap D_{\tilde{u}'_1} \neq \{1\}, \quad D_{\tilde{w}'_1} \cap D_{\tilde{u}'_1} \cap \Pi_{\overline{\mathcal{H}}} = \{1\}$$

$$\rightsquigarrow \exists \tilde{w}'_2 \in \mathcal{V}(\overline{\mathcal{H}}) \text{ s.t. } D_{\tilde{w}'_1} \cap D_{\tilde{u}'_1} = I_{\tilde{w}'_2} \text{ [cf. Lem 9]}$$

$$\rightsquigarrow \overline{\beta}(I_{\tilde{w}_2}) = I_{\tilde{w}'_2}$$

Thus, it follows from Lem 8, (iii), that

$$\overline{\alpha}(\Pi_{\tilde{w}_2}) = \Pi_{\tilde{w}'_2}.$$

$\rightsquigarrow$  We conclude from (a) that  $\overline{\alpha}(\Pi_{\tilde{v}_2})$  is **vertical** !

$\bar{\mathcal{G}}' \rightarrow \bar{\mathcal{G}}$ : a connected finite étale covering of degree = 2

